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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Joshi et al.

FEB 1 3 2002 Application No.: 09/955,246

Examiner:

Not yet assigned

COPY OF PAPERS

ORIGINALLY FILED

Art Unit:

Not yet assigned

Filed: September 17, 2001

For: A Method for Providing Database

Security

RESPONSE TO NOTICE OF INCOMPLETE REPLY (FILING DATE GRANTED)

Assistant Commissioner for Patents **Box Missing Parts**

, Washington, D.C. 20231

Sir:

In response to the Notice of Incomplete Reply (Filing Date Granted) mailed January 17, 2002 please find enclosed:

- (1) substitute drawings in compliance with 37 CFR 1.84;
- (2) a check in the amount of \$400.00 in payment of the 2 month extension of time; and
- (3) a copy of the Notice of Incomplete Reply.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

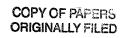
Respectfully submitted,

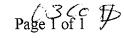
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Mark L. Watson Reg. No. 46,322

> 12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025-1026 (303) 740-1980

Docket No.: 042390.P11764 Application No.: 09/955,246







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United States Patent and Trademark Office

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UNITED STATES PATENT AND TRALEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/955.246

Mark L. Watson

09/17/2001

Ajit P. Joshi

042390 P11764

CONFIRMATION NO. 8621

FORMALITIES LETTER

OC00000007326312

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Seventh Floor

Seventh Floor 12400 Wilshire Boulevard Los Angeles, CA 90025-1026

Date Mailed: 01/17/2002

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 01/08/2002 to the Notice to File Missing Parts (Notice) mailed 10/22/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Servicé Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



COPY OF PAPERS ORIGINALLY FILED

PTO/SB/17(09-00)

Approved for use through 10/31/2002. OMB 0651-0032

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Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) **Fee Description** Fee Paid 105 130 205 65 Surcharge - late filing fee or oath 127 50 227 25 Surcharge - late provisional filing fee or cover sheet 139 130 139 130 Non-English specification 2,520 For filing a request for ex-parte reexamination 147 2,520 147 099 8.800 099 8.800 Request for inter partes reexamination 920* Requesting publication of SIR prior to 112 920* 112 **Examiner action** 113 1,840* 113 1,840* Requesting publication of SIR after **Examiner action** 115 110 215 55 Extension for response within first month 116 400 216 200 Extension for response within second month 400.00 920 217 460 Extension for response within third month 117 1.440 218 720 Extension for response within fourth month 118 128 1.960 228 980 Extension for response within fifth month 119 320 219 160 **Notice of Appeal** Filing a brief in support of an appeal 120 320 220 160 121 280 221 140 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding 140 Petition to revive unavoidably abandoned application 110 240 55 or unavoidably delayed payment of issue fee 141 1,280 241 640 Petition to revive unintentionally abandoned application or unintentionally delayed payment of issue fee 142 1,280 242 640 Utility issue fee (or reissue) 143 460 243 230 Design issue fee 144 620 244 310 Plant issue fee 122 130 122 130 **Petitions to the Commissioner** 123 Petitions related to provisional applications 50 123 50 180 126 126 180 **Submission of Information Disclosure Stmt** 581 40 581 Recording each patent assignment per 40 property (times number of properties) 146 For filing a submission after final rejection 740 246 370 (see 37 CFR 1.129(a)) 149 249 370 740 For each additional invention to be examined (see 37 CFR 1.129(b)) 179 279 370 Request for Continued Examination (RCE) 740 169 900 169 900 Request for expedited examination of a design application Other fee (specify) Other fee (specify) SUBTOTAL (3) \$400.00 *Reduced by Basic Filing Fee Paid SUBMITTED BY: Typed or Printed/Name: Mark L. Watson Signature: Date: Reg. Number: _46,322 **Telephone Number:** <u>303-740-1980</u>

FEE CALCULATION (continued)